



GUPTA SACHDEVA & Co.
CHARTERED ACCOUNTANTS

Annual Compliance Audit Report FY24-25

SEBI Research Analyst Regulations, 2014

(1) Qualification and Certification Requirements (Reg. 7)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has meet the required qualification and certification of Regulation (7) for SEBI Research Analyst Regulation, 2014 at all times in FY24-25.

(2) Capital Adequacy (Reg. 8)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has meet the requirement is Rs. 1 lakh with same verified from bank statements and quarterly compliance report audited submitted on SEBI portal for Regulation (8) for SEBI Research Analyst Regulation, 2014 at all times in FY24-25.

(3) Establishing Internal Policies and Procedures (Reg. 15)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1) (i) (ii) (iii) and (2) of Regulation (15) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(4) Limitations on Trading by Research Analyst (Reg. 16)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1) (2) (3) (4) (5) and (6) of Regulation (16) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.



Registered Office

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(5) Compensation of Research Analyst (Reg.17)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1) (2) (3) (4) of Regulation (17) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(6) Limitation on Publication of Research Report, Public Appearance and Conduct of Business. etc (Reg.18)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1) (a) (b), (2), (3), (4), (5), (6), (7), (8), (9), (10) of Regulation (18) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(7) Disclosure in Research Report (Reg.19)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No. INH000032382 has truly followed all (i)(a)(b)(c), (ii) (a) (b) (c) (d) (e), (iii) (a) (b), (iv), (v), (vi) of Regulation (19) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(8) Contents Research Report (Reg.20)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1), (2) and (3) of Regulation (20) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.



(9) Recommendation in Public Media (Reg.21)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1), (2) (i) (ii) (iii) of Regulation (21) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(10) Distribution of Research Reports (Reg.22)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1), (2), (3) and (4) of Regulation (22) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(11) General Responsibility (Reg.24)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1), (2), (3), (4) and (5) of Regulation (24) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.

(12) Maintenance of Records (Reg.25)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed all (1) (i) (ii) (iii) (iv), (2) and (3) of Regulation (25) for SEBI Research Analyst Regulation, 2014 all times in FY24-25.



(13) Redress Investor Grievances (Reg.26B)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has truly followed Regulation (26B) to redress investor grievances promptly but not later than twenty-one calendar days from the date of receipt of the grievance and in such manner as may be specified by the Board as per SEBI Regulation, 2014 all times in FY24-25.

(14) Client Level Segregation of Research Services & Distribution Activities (Reg.26C)

SEBI Registered Research Analyst Mr. Rohan Shinde with SEBI Registration No: INH000032382 has not provided any distribution services and neither his any family member is involved in any type of distribution services to his clients or other clients as per SEBI Regulation, 2014 all times in FY24-25.

Place: Patna

For GUPTA SACHDEVA & Co.
CHARTERED ACCOUNTANTS

Dated: 20.09.2025
UDIN : 25520964BMIYNJ7977



(SHIV P. PRABHAKAR)
PARTNER, M.No.520964